

## **CHECKLIST**

Items that should be returned with the application(s)

1. Appropriate fee \$1,500.00 (\$500 nonrefundable fee) \_\_\_\_\_
2. Application(s) (physically received) \_\_\_\_\_
3. Surety Bond \_\_\_\_\_
4. Surety Bond Calculation Form \_\_\_\_\_
5. Authorization to Disclose Deposit Information, if necessary \_\_\_\_\_
6. A list of the applicant's permissible investments \_\_\_\_\_
7. A copy of the letter acknowledging the company's registration as an MSB with the Treasury Department. \_\_\_\_\_
8. Agent List, if necessary \_\_\_\_\_
9. Most recent audited unconsolidated financial statement \_\_\_\_\_
10. Interim financial statement dated within 90 days of the date of application \_\_\_\_\_
11. Personal & Business History Statement(s) \_\_\_\_\_

**PLEASE CHECK ALL ITEMS TO BE SURE YOUR APPLICATION IS COMPLETE.** If you have questions, please contact Jean Wright at 860-240-8209 or via email at [jean.wright@ct.gov](mailto:jean.wright@ct.gov).